

Compliance Management at KIT

Culture

Values, guidelines

- KIT 2025 Umbrella Strategy
- Mission statement of KIT
- Guidelines for ethical principles of KIT
- Code of Conduct

Goals

Rule conformity
Protection of KIT
Protection of employees
Risk minimization
Process optimization
Transparency

Organization

Compliance staff unit (COMP)
Commissioners and ombudspersons
Specialist organizational units
Compliance Working Group
Task-Force
Crisis management
Internal Auditing

Prevention

Internal regulations
Risk analysis

- Inquiries on corruption risks
- Inquiries on compliance and other risks

Development of general preventive measures
Training courses
Discussion of compliance-related constellations in the Compliance Working Group

Action

Advice and replies to inquiries regarding concrete rule-compliant behavior
Recommendations
Examination of non-compliance and corruption cases
Reporting system
Implementation checks
Case management

Communication

From/On the part of KIT:

- Personal discussions
- Circulars
- Intranet / Internet
- e-portal „Information A-Z“
- Crisis Communication

From/On the part of Whistleblower:

- Various direct contact channels
- Lawyer of confidence
- Anonymous whistleblower e-portal